

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary Alan KEMP, II et al.
Title: CLICK BASED TRADING WITH
INTUITIVE GRID DISPLAY OF
MARKET DEPTH AND PRICE
CONSOLIDATION
Appl. No.: 09/971,087
Filing Date: 10/05/2001
Examiner: R. Weisberger
Art Unit: 3624

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AMENDMENT

Commissioner for Patents
Box NON-FEE AMENDMENT
Washington, D.C. 20231

Sir:

Please amend this application as set forth below and consider the accompanying remarks.

In the Specification:

Please amend the specification as follows:

Page 11, delete paragraph [0040], and replace this paragraph with the following in accordance with 37 CFR § 1.121. A marked up version showing the changes in the specification is attached.

-- In the screen display shown in Figure 2, the commodity (contract) being traded is represented in row 1 by the character string "CDH0". The Depth column 208 will inform the trader of a status by displaying different colors. Yellow indicates that the program application is waiting for data. Red indicates that the program application has failed to receive the data from the server and has "timed out." Green indicates that the data has just been updated. The other column headings in this and all of the other figures, are defined as follows. *BidQty* (*Bid Quantity*): the quantity for each working